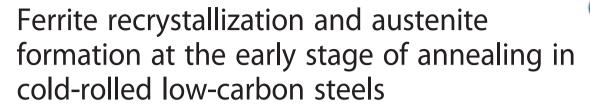
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# **ORIGINAL ARTICLE**

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Toshio Ogawa

#### **Abstract**

**Background:** High strength low carbon steels used in the automobile industry are often annealed at the intercritical temperature, and recrystallization of ferrite and formation of austenite can occur at the same time during the intercritical annealing. However, detailed studies on the competition between recrystallization of ferrite and formation of austenite at the early stage of annealing have not been carried. Therefore, ferrite recrystallization and austenite formation in cold-rolled low carbon steels at an early stage of annealing have been observed.

**Methods:** The chemical composition of the steel (in mass %) was 0.1C-2.0Mn. After hot and cold rolling, the specimens were heated from room temperature to 1003, 1013 and 1023 K at a rate of 30 K s<sup>-1</sup>, and then gas-quenched to room temperature at a rate of 50 K s<sup>-1</sup>. We observed the microstructure of the annealed specimens.

**Results:** Fractions of recrystallized ferrite and austenite gradually increased with increasing annealing temperature, whereas the fraction of non-recrystallized ferrite gradually decreased with increasing annealing temperature. A large portion of austenite was formed at the grain boundaries of recrystallized ferrite and at the interface between recrystallized and non-recrystallized ferrite grains, whereas formation of austenite was scarcely confirmed at subgrain boundaries inside non-recrystallized ferrite grains. The grain size of the recrystallized ferrite and the subgrains formed in the non-recrystallized ferrite hardly changed during the annealing.

**Conclusions:** Ferrite recrystallization was able to proceed during intercritical annealing when the austenite fraction was less than at least 10%. A large portion of austenite was formed at the grain boundaries of the recrystallized ferrite and the interface between the recrystallized ferrite and non-recrystallized ferrite at an early stage of annealing at the intercritical temperature.

Keywords: Recovery; Recrystallization; Austenite formation; Low-carbon steel

#### **Background**

Interstitial free (IF) steels are generally annealed in the ferrite ( $\alpha$ ) single region (Hashimoto et al. 1998; Mukunthan and Hawbolt 1996; Nishitani et al. 2011; Takechi 1994; Ye et al. 2002). In contrast, high-strength low-carbon steels used in the automobile industry are often annealed at the intercritical temperature (Garcia et al. 2005; Hayami et al. 1979; Goodman 1984; Takayama et al. 1982; Toji et al. 2011). During the intercritical annealing, recrystallization of  $\alpha$  and formation of austenite ( $\gamma$ ) can occur at the same

time in low-carbon steels. Therefore, the microstructural evolution during intercritical annealing in low-carbon steels is sometimes complicated compared to IF steels.

In our previous studies, when low-carbon steels were heated above the  $Ac_1$  temperature before the completion of  $\alpha$  recrystallization, remarkable retardation of  $\alpha$  recrystallization was observed when the  $\gamma$  fraction was above 30 % (Maruyama et al. 2007; Ogawa et al. 2010). On the other hand, Yang et al. investigated the role of  $\gamma$  on the recrystallization of  $\alpha$  during intercritical annealing in plain low-carbon steel, and concluded that the inhibition effect of  $\gamma$  is small, at least under the condition where the  $\gamma$  fraction is approximately 10 % (Yang et al. 1984). Therefore, it appears that the effect of the  $\gamma$  fraction

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on recrystallization of  $\alpha$  is an important point for microstructural evolution during intercritical annealing. However, detailed studies on the competition between recrystallization of  $\alpha$  and formation of  $\gamma$  during intercritical annealing have not been carried out when the  $\gamma$  fraction is less than 10 %. From the viewpoint of controlling the microstructure during intercritical annealing in industrial manufacturing, the mechanism of progress of  $\alpha$  recrystallization when the  $\gamma$  fraction is less than 10 % needs to be clarified.

For nucleation sites of y, Goodman et al. demonstrated that martensite was observed at high-angle grain boundaries, such as non-recrystallized  $\alpha$  grain boundaries, when the volume fraction of the martensite was approximately 15 % (Goodman 1984). In addition, it has been reported that the formation of martensite is confirmed not only at high-angle grain boundaries but also inside non-recrystallized  $\alpha$  grains when the volume fraction of the martensite is approximately 50 % (Carozzo and Jacques 2007). In these studies, the positions of the martensite correspond to those of the  $\gamma$  phase during intercritical annealing. Hence, it is likely that the  $\gamma$  fraction is also important for the nucleation sites of y, but this has not been explored or discussed sufficiently. The effect of the y fraction on the nucleation site of y needs to be investigated since the distribution of  $\gamma$  can affect the formability of lowcarbon steels (Nonaka et al. 2007).

We mainly examined the recrystallization of  $\alpha$  and the formation of  $\gamma$  during intercritical annealing when the  $\gamma$  fraction was above 30 %. However, as mentioned above, the number of detailed studies on the recrystallization of  $\alpha$  and formation of  $\gamma$  during intercritical annealing when the  $\gamma$  fraction is less than 10 % is limited. Therefore, basic data for this needs to be accumulated, and this may play an important role in determining the annealing conditions of low-carbon steels in industrial manufacturing. The purpose of the present study was to investigate the recrystallization of  $\alpha$  and formation of  $\gamma$  at the early stage of annealing in cold-rolled low-carbon steels.

### **Methods**

The chemical composition of the steel (in mass %) was 0.1C–2.0Mn. The vacuum-melted ingot was hot rolled in the austenite region to a thickness of 3 mm and subsequently water-cooled to 673 K followed by furnace cooling to room temperature. The microstructure after hot rolling was bainite. The hot-rolled sheet was cold rolled to a thickness of 1 mm (reduction: 67 %). Optical micrographs of the hot-rolled and cold-rolled sheets were included in our previous article (Ogawa et al. 2010). After the cold rolling, the specimens were heated from room temperature to 1003, 1013, and 1023 K at a rate of 30 K s<sup>-1</sup>, and

then gas-quenched to room temperature at a rate of  $50~{\rm K~s}^{-1}$  using a heat treatment simulator.

In these steels, the  $\gamma$  phase which forms during intercritical annealing was transformed to martensite ( $\alpha'$ ) or bainite ( $\alpha_B$ ) during cooling. Phase transformation behavior during heating from room temperature to 1173 K was quantified by measuring thermal expansion using a dilatometer. The microstructure of the annealed specimens was observed by means of optical microscopy and transmission electron microscopy (TEM).

#### **Results**

Figure 1a–c shows the microstructures of specimens heated to 1003, 1013, and 1023 K. Recrystallized  $\alpha$  grains can be observed after heating to 1003 K (Fig. 1a). The black points in Fig. 1a correspond to spheroidal cementite. The area of the recrystallized  $\alpha$  grains increased with increasing annealing temperature (Fig. 1b, c).

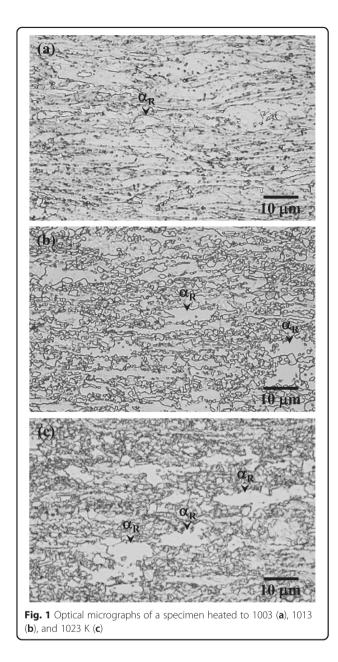
Figure 2 shows the  $\alpha$ -to- $\gamma$  transformation behavior of a specimen during heating. The Ac<sub>1</sub> temperature of the specimen is approximately 1008 K. For the estimation of the  $\gamma$  fraction from the change in dilatation (Fig. 2a), the following equation was used:

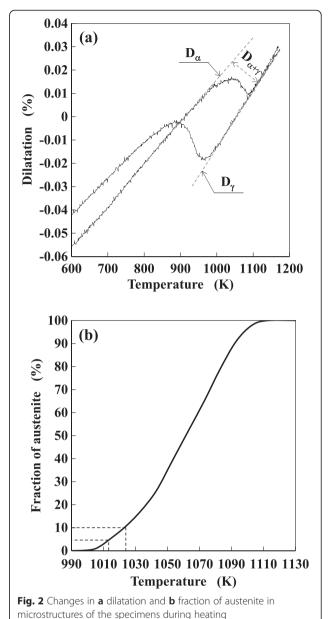
$$F_{\gamma} = \frac{D_{\alpha+\gamma} - D_{\alpha}}{D_{\gamma} - D_{\alpha}} \times 100 \tag{1}$$

where  $F_{\gamma}$  is the  $\gamma$  fraction at each temperature,  $D_{\gamma}$  is the dilatation obtained by the dilatometer when the specimen is heated to the austenite single region,  $D_{\alpha}$  is the dilatation obtained by the dilatometer when the specimen is heated to the ferrite single region, and  $D_{\alpha+\gamma}$  is the dilatation obtained by the dilatometer at each temperature above the  $Ac_1$  temperature. The parameters of  $D_{\gamma}$ ,  $D_{\alpha}$ , and  $D_{\alpha+\gamma}$  are illustrated in Fig. 2a. Using Eq. (1), the y fractions that were transformed during heating to 1013 and 1023 K are calculated to be 5 and 10 % approximately, respectively (Fig. 2b). Changes in the volume fraction of the microstructure in the specimens heated to 1003, 1013, and 1023 K are shown in Fig. 3. The fraction of recrystallized  $\alpha$  shown in Fig. 3 was estimated by the point-count method. The fraction of nonrecrystallized  $\alpha$  shown in Fig. 3 can be obtained by the following equation:

$$F_{\rm NR} = 100 - \left(F_{\rm R} + F_{\gamma}\right) \tag{2}$$

where  $F_{\rm NR}$  is the fraction of non-recrystallized  $\alpha$  and  $F_{\rm R}$  is the fraction of recrystallized  $\alpha$ . Fractions of recrystallized  $\alpha$  ( $\alpha_{\rm R}$ ) and  $\gamma$  gradually increased with increasing annealing temperature, whereas the fraction of non-recrystallized  $\alpha$  ( $\alpha_{\rm NR}$ ) gradually decreased with increasing annealing temperature. Recrystallized fractions of

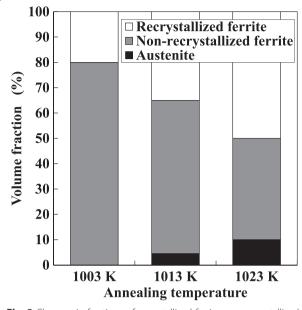




the specimens heated to 1003, 1013, and 1023 K are approximately 20, 35, and 50 %, respectively.

The microstructures in a specimen heated to 1003 K are shown in Fig. 4a–c. Since the  $Ac_1$  temperature is approximately 1008 K, the temperature at which the specimen was annealed is in the  $\alpha$  single region. The microstructure shown in Fig. 4a consists of  $\alpha_R$  and  $\alpha_{NR}$ . Here, we assume that the grains with diameters larger than 3  $\mu$ m correspond to recrystallized  $\alpha$  grains. Cementite particles are observed both in the  $\alpha_R$  (Fig. 4b) and  $\alpha_{NR}$  (Fig. 4c), and subgrains (SG) are formed in the  $\alpha_{NR}$  (Fig. 4c).

Figures 5 and 6 show microstructures of specimens heated to 1013 and 1023 K. Since the dislocation density in  $\alpha'$  is extremely high compared to that in  $\alpha_R$  and  $\alpha_{NR}$ , we determined that the grains with higher dislocation densities and without precipitation of carbides correspond to  $\alpha'$  in Figs. 5 and 6. The microstructures shown in Figs. 5a and 6a consist of  $\alpha_R$ ,  $\alpha_{NR}$ , and transformation products from  $\gamma$  (i.e.,  $\alpha'$ ), and cementite particles are hardly observed in  $\alpha_R$  and  $\alpha_{NR}$ . A large portion of  $\gamma$  is formed at the grain boundaries of  $\alpha_R$  and at the interface between  $\alpha_R$  and  $\alpha_{NR}$ , whereas formation of  $\gamma$  is scarcely confirmed at subgrain boundaries inside  $\alpha_{NR}$  (Figs. 5b and 6b).



**Fig. 3** Changes in fractions of recrystallized ferrite, non-recrystallized ferrite, and austenite in microstructures of the specimens during heating

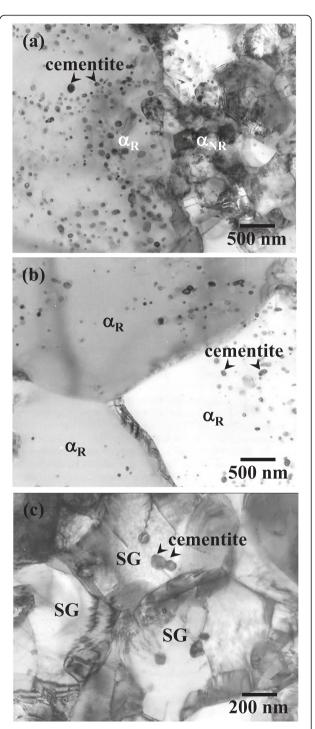
Figure 7 shows changes in the grain size of  $\alpha_R$  and the subgrain size in  $\alpha_{NR}$  during heating to 1023 K. The grain size of  $\alpha_R$  hardly changed during heating to 1023 K and was approximately 6.0  $\mu$ m. Subgrain growth was scarcely observed during heating to 1023 K and the size of subgrains formed in  $\alpha_{NR}$  is approximately 0.75  $\mu$ m.

#### Discussion

The microstructural evolution during heating to 1023 K showed some characteristic points regarding recrystallization of  $\alpha$  and the formation of  $\gamma$  as compared to our previous study (Ogawa et al. 2010). The mechanism of microstructural evolution in the present study is discussed involving both recrystallization of  $\alpha$  and the formation of  $\gamma$ .

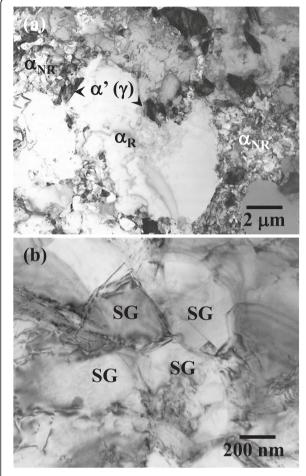
## Ferrite recrystallization

As shown in Fig. 3, the fraction of  $\alpha_R$  gradually increased with the annealing temperature. This suggests that recrystallization of  $\alpha$  can proceed during intercritical annealing when the  $\gamma$  fraction is less than 10 %. The results of this study are in agreement with previous studies (Ogawa et al. 2010; Yang et al. 1984). On the other hand, we demonstrated that remarkable retardation of  $\alpha$  recrystallization was observed during intercritical annealing when the  $\gamma$  fraction was above 30 % (Maruyama et al. 2007; Ogawa et al. 2010). Thus, it is likely that the progress of  $\alpha$  recrystallization depends on the  $\gamma$  fraction during intercritical annealing.



**Fig. 4** TEM images of **a** recrystallized and non-recrystallized ferrite grains, **b** recrystallized ferrite grains, and **c** non-recrystallized ferrite grains in the microstructure of a specimen heated to 1003 K

In the present study, as shown in Fig. 7, it is important to note that the grain size of  $\alpha_R$  hardly changed during heating from 1003 to 1023 K, although the fraction of  $\alpha_R$  gradually increased with the annealing temperature

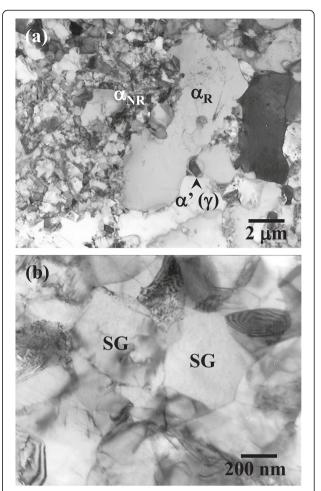


**Fig. 5** TEM images of **a** recrystallized and non-recrystallized ferrite grains and **b** non-recrystallized ferrite grains in the microstructure of a specimen heated to 1013 K

(Fig. 3). In addition, a large portion of  $\gamma$  was formed at high-angle grain boundaries such as the grain boundaries of  $\alpha_R$  and the interface between  $\alpha_R$  and  $\alpha_{NR}$ . For low-carbon steels, the formation of  $\gamma$  at high-angle grain boundaries during intercritical annealing has also been reported by several authors (Goodman 1984; Maruyama et al. 2007; Ogawa et al. 2010; Yang et al. 1984). For discussing the grain growth mechanism, the following type of equation (Takayama et al. 1982) is often used:

$$r^n - r_0^n = kt (3)$$

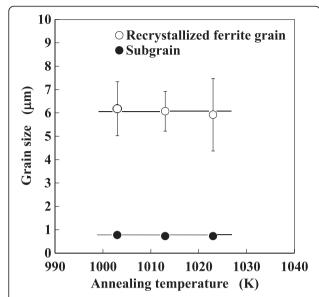
where r is the average radius of the grains,  $r_0$  is the initial radius, and k is a rate constant. The value n has been reported to vary with the controlling mechanism of the grain growth. For grain growth of the dual phase, the value of k in Eq. (3) has been estimated to be  $2.4 \times 10^{-13}$  mm<sup>3</sup> s<sup>-1</sup> (Ogawa et al. 2010). On the other hand, for grain growth of the  $\alpha$  single phase, the value of k in Eq. (3) has been estimated to be  $1.6 \times 10^{-5}$  mm<sup>2</sup> s<sup>-1</sup>



**Fig. 6** TEM images of **a** recrystallized and non-recrystallized ferrite grains and **b** non-recrystallized ferrite grains in the microstructure of a specimen heated to 1023 K

(Takayama et al. 1982). For grain growth of the dual phase and the single phase, the value of n in Eq. (3) is 3 and 2, respectively (Nishizawa 1984). In addition, as shown in Fig. 7,  $r_0$  (radius of the recrystallized  $\alpha$  grains at 1003 K) in Eq. (3) is approximately 3.1  $\mu$ m. Substituting these values of k, n, and  $r_0$  into Eq. (3), the radius of recrystallized  $\alpha$  grains in the dual phase and the  $\alpha$  single phase at 1023 K are calculated to be approximately 3.1 and 4.5  $\mu$ m, respectively. Therefore, the mechanism of the grain growth of  $\alpha_R$  in this study can be explained by the kinetics of grain growth of the dual phase.

The mechanisms of recrystallization can be distinguished into discontinuous and continuous recrystallization. Discontinuous recrystallization is manifested by recrystallized grains appearing in the deformed matrix and consuming the matrix, and finally the entire region is converted to recrystallized grains (Humphreys 2004). On the other hand, continuous recrystallization represents cells or subgrains formed in the deformed matrix changing to recrystallized grains (Humphreys 1997). Hence,



**Fig. 7** Changes in the diameters of recrystallized ferrite grains and subgrains in non-recrystallized ferrite grains as functions of the annealing temperature

progress of  $\alpha$  recrystallization at the early stage of annealing at the intercritical temperature in this study probably originates in continuous recrystallization since the grain size of  $\alpha_R$  hardly changed during heating from 1003 to 1023 K.

#### **Austenite formation**

The mechanisms of  $\gamma$  formation in low-carbon steels have been proposed by several authors (Hillert et al. 1971; Huang et al. 2004; Jeong and Kim 1985; Speich and Szirmae 1969; Speich et al. 1981). In many cases, it has been reported that cementite particles and/or pearlite colonies are the likely nucleation sites of  $\gamma$ . In the present study, however, as shown in Fig. 4, after heating to 1003 K, the cementite particles were still homogeneously distributed and were observed both in  $\alpha_R$  and  $\alpha_{NR}$ . Furthermore, as shown in Figs. 5 and 6, a large portion of  $\gamma$  was formed at the grain boundaries of  $\alpha_R$  and the interface between  $\alpha_R$  and  $\alpha_{NR}$ , and the transformation products from  $\gamma$  were hardly observed inside  $\alpha_R$  and  $\alpha_{NR}$ . Thus, it appears that cementite particles are not necessarily the nucleation sites for  $\gamma$  in this study.

Hillert et al. suggested that solute C generated by the dissolution of cementite diffused to the grain boundaries, thereby causing the formation of  $\gamma$  at the grain boundaries (Hillert et al. 1971). Hence, it is likely that the mechanism of  $\gamma$  formation in this study is that solute C generated by the dissolution diffused to the grain boundaries thereby causing the formation of  $\gamma$  at the grain boundaries of  $\alpha_R$  and the interface between  $\alpha_R$  and  $\alpha_{NR}$  at the early stage of annealing. Here, it should be noted that  $\gamma$  phases were

formed not only at the grain boundaries of  $\alpha_R$  and the interface between  $\alpha_R$  and  $\alpha_{NR}$  but also at subgrain boundaries inside  $\alpha_{NR}$  when the  $\gamma$  fraction was more than at least 30 %, as reported in previous studies (Ogawa et al. 2010; Carozzo and Jacques 2007). The subgrain boundaries are usually low-angle boundaries (Humphreys and Hathery 1995) and have a lower energy (Read and Shockley 1950), compared to the recrystallization interfaces with high-angle boundaries. These findings indicate that  $\gamma$  phases nucleated preferentially at the grain boundaries of  $\alpha_R$  and at the interface between  $\alpha_R$  and  $\alpha_{NR}$  with higher levels of boundary energy at the early stage of annealing.

It has been reported that a large portion of  $\alpha'$  was observed at high-angle grain boundaries such as grain boundaries of  $\alpha_{NR}$  when the volume fraction of  $\alpha'$  was approximately 15 % (Goodman 1984). These findings indicate that the  $\gamma$  fraction is an important point for the nucleation site of  $\gamma$ , and the nucleation site of  $\gamma$  is mainly a high-angle grain boundary when the  $\gamma$  fraction is low. On the other hand, as mentioned above, pearlite colonies should be the nucleation sites for  $\gamma$  when the microstructure before annealing consists of ferrite and pearlite (Huang et al. 2004; Speich et al. 1981). Therefore, it appears that the microstructure before annealing can also affect the nucleation site of  $\gamma$ . Furthermore, it has been demonstrated that the microstructure before annealing affects the recrystallization behavior of  $\alpha$  during annealing in cold-rolled low-carbon steels (Yamaguchi et al. 2011). The influence of the microstructure before annealing on the competition phenomenon between recrystallization of  $\alpha$  and formation of  $\gamma$  during annealing is an important issue for further clarification.

#### **Conclusions**

We have investigated the recrystallization of  $\alpha$  and the formation of  $\gamma$  at the early stages of annealing in cold-rolled low-carbon steels, and the following results were obtained.

- (1) Recrystallization of  $\alpha$  was able to proceed during intercritical annealing even if the  $\gamma$  fraction was less than 10 %, although the grain size of  $\alpha_R$  and subgrains formed in  $\alpha_{NR}$  hardly changed. This result suggests that progress of  $\alpha$  recrystallization at the early stages of annealing at the intercritical temperature mainly originates in continuous recrystallization.
- (2) A large portion of  $\gamma$  was formed at the grain boundaries of  $\alpha_R$  and at the interface between  $\alpha_R$  and  $\alpha_{NR}$  at the early stage of annealing, although cementite particles were homogeneously distributed.
- (3) Solute C generated by the dissolution of cementite diffused to high-angle grain boundaries, thereby causing the formation of  $\gamma$  at the grain boundaries of  $\alpha_R$  and the interface between  $\alpha_R$  and  $\alpha_{NR}$  at the early stages of annealing.

#### Competing interests

The author declares that he has no competing interest.

#### Acknowledgements

This work was supported by a research grant from the SUZUKI Foundation,

Received: 3 June 2015 Accepted: 7 August 2015 Published online: 18 August 2015

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